

~~ISO 9001:2000~~ (07/2005) (07/2005)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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116 01 2005

Substitute of form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/005,105
Filing Date	December 3, 2001
First Named Inventor	Paul C. Kocher
Group Art Unit	2132
Examiner Name	Justin T. Darrow
Attorney Docket No.r	44424162-8721

Class/Sub class

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Number Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Signature	<i>Austin Dwyer</i>	Date Considered	09/29/2005
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WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is desired. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

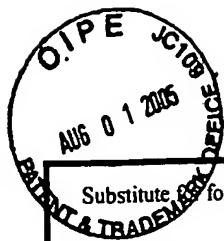
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Substitute for form 1449B/PTO			Complete If Known	
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			Examiner Name	Justin T. Darrow
Sheet	2	of	3	Attorney Docket No.
44424162-8721				

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
T ²				
JP		BACK, Adam, "Non-Interactive Forward Secrecy" 09/06/1996		
JP		BELL, Jim, "Spread-Spectrum Computer Clock?" Google Beta Groups		
JP		BELLARE et al., "Optimal Asymmetric Encryption", Advanced Networking Laboratories, 1998, pp 92-111, Springer-Verlag, U.S.A.		
JP		BELLARE et al, "The Exact Security of Digital Signatures- How to Sign with RSA and Rabin", Advances in Cryptology - Eurocrypt 96 Proceedings, Lecture Notes in Computer Science, Vol. 1070, , pp 1-16, U. Maurer ed., Springer-Verlag, 1996		
JP		BELLARE et al, "Forward Integrity For Secure Audit Logs", pp 1-16, November 23, 1997, U.S.A.		
JP		FRANKEL et al., "Optimal-Resilience Proactive Public-Key Cryptosystems" IEEE Symposium on Foundations of Computer Science, 1997		
JP		FRANKEL et al., "Proactive RSA", Lecture Notes in Computer Science, 1996		
JP		HERZBERG et al, "Proactive Public Key and Signature Systems", ACM Conference on Computer and Communications Security, 1996		
Examiner Signature	Justin Darrow		Date Considered	09/29/2005

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Examiner Name	Justin T. Darrow
Attorney Docket No.	44424162-8721

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JD		MENZES et al, "Pseudorandom Bits and Sequences", Handbook of Applied Cryptography, CRC Press, Chapter 5, pp 169-190, 1996	
JD		MENZES et al, "Efficient Implementation", Handbook of Applied Cryptography, CRC Press, Chapter 14, pp 591-634, 1996	
JD		RIVEST, Ronald, "Timing Cryptanalysis of RSA, DH, DDS" Google Beta Groups	

Examiner Signature	Justin Darrow	Date Considered	09/29/2005
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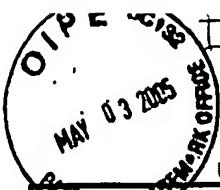
Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
BD		EP 90201136.0 A1	11/28/1990	Koninklijke PTT Nederland N.V.	104L 9/06	<input type="checkbox"/>
BD		WO 99/08411 A2	02/18/1999	Jonathan Stiebel	104K 1/06	<input type="checkbox"/>

Examiner Signature	<i>Austin Bonner</i>	Date Considered	09/28/2005
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105(05/03/2005) com 04(28/2005)

PTO/SB/08a (08-03)

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<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>		Complete if Known	
		Application Number	10/005,105
		Filing Date	December 3, 2001
		First Named Inventor	Paul C. Kocher
		Group Art Unit	2132
		Examiner Name	Justin T. Darrow
Sheet	1	of	2
		Attorney Docket Number	

U.S. PATENT DOCUMENTS

Class/Subclass

FOREIGN PATENT DOCUMENTS

Geographie

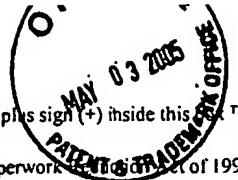
Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
HD		EP 0 656 708 A1	06-07-1995	International Business Machines Corporation	404L 4/08	<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature	Martin Banmer	Date Considered	09/29/2005
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Substitute for form 1449B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/005,105
		Filing Date	December 3, 2001
		First Named Inventor	Paul C. Kocher
		Group Art Unit	2132
		Examiner Name	Justin T. Darrow
<i>(use as many sheets as necessary)</i>		Attorney Docket Number	44424162-8721
Sheet	2	of	2

Examiner Signature	Justin Brown	Date Considered	09/29/2005
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

of

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
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		Group Art Unit	2132
		Examiner Name	not yet known Justin T. Darrow
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Class/Subclass

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Examiner
Signature

Austin Brown

Date Considered

09/29/2005

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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		44424162-8721	

U.S. PATENT DOCUMENTS

class /sets/claims

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CHS1/CHS2/CHS3

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AS	EP0826169B1		12/9/1997	Ugon	G 06 F 1/04	
AS	EP1064752B1		23/09/1999	Salle	H 04 L 9/06	
AS	EP1204948B1		1/2/2001	Leydier	G 06 K 19/073	

Examiner
Signature

Justin Amos

Date Considered

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JD		* 4,107,458 A	01/08/1978	Constant	178/22
JD		* 4,139,839 A	01/02/1979	Fletcher et al.	340/34700
JD		* 4,569,052 A	04/02/1986	Cohn et al.	371/38
JD		* 4,605,921 A	08/01/1998	Riddle et al.	340/34700
JD		* 5,144,667 A	09/01/1992	Pogue et al.	380/45
JD		* 5,159,632 A	10/27/1992	Crandall	380/20
JD		* 5,511,123 A	04/23/1996	Adams	380/29
JD		* 5,551,013 A	08/27/1996	Beausoleil et al.	395/500
JD		* 5,559,890 A	09/09/1996	Obermeire et al.	380/46P
JD		* 5,664,017 A	09/02/1997	Gressel et al.	380/30
JD		* 5,710,834 A	01/01/1998	Rhoads	382/232
JD		* 5,892,829 A	04/06/1999	Aiello et al.	380/25
JD		* 5,982,900 A	11/09/1999	Ebihara et al.	380/30

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JD		EP 0 660 562 A2	06/28/1995	General Instrument Corporation of Delaware	H04L 9/00	<input type="checkbox"/>
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Substitute for form 1449B/PTO		NOV 08 2003		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/005,105
				Filing Date	December 3, 2001
				First Named Inventor	Paul C. Kocher
				Group Art Unit	2132
				Examiner Name	not yet known Justin T. Brown
Sheet	2	of	2	Attorney Docket Number	44424162-8721

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JP		LACY, J. et al., "CryptoLib Version 1.1", File Bigpow.c from CryptoLib, United States, November 1999.	
JP		"File NN.C from RSAFEF", RSA Laboratories, a division of RSA Data Security, Inc., United States, 1991.	
JP		WAYNER, P., "Code Breaker Crack Smart Cards, Digital Safe", New York Times, United States, 06/22/98, on the World Wide Web at: http://www.nytimes.com/library/tech/98/06/biztech/articles/22card.html	

Examiner Signature	Justin Brown	Date Considered	09/29/2005
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	10/005,105	
			Filing Date	December 3, 2001	
			First Named Inventor	Paul C. Kocher	
			Group Art Unit	2132	
			Examiner Name	not yet known Justin T. Danner	
Sheet 1 of 3			Attorney Docket Number	44424162-8721 Technology Center 2000	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JP	AA	US-4,203,166 A	05/13/1980	Ehrsam et al.	375/2
JP	AB	US-4,214,126 A	07/22/1980	Wipff	179/1,5M
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JP	AD	US-5,241,598 A	08/31/1993	Raith	300/21
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JP	AF	US-5,341,423 A	08/23/1994	Nossen	380/6
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JP	AO	US-5,733,047 A	03/31/1998	Furuta et al.	384/43
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Examiner Initials*	Cite No. ¹	Foreign Patent Number Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JP	AQ	EP 0 529 261 A2	03/03/1993	IBM Corp.	104L 9/08
JP	AR	EP 0 582 395 A2	02/09/1994	Digital Equipment Corp.	104L 29/06

Examiner Signature	<i>Justin T. Danner</i>	Date Considered	09/29/2005
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Complete If Known			
Application Number		10/005,105	
Filing Date		December 3, 2001	
First Named Inventor		Paul C. Kocher RECEIVED	
Group Art Unit		2132	
Examiner Name		Not yet known. JUN 2007 2004	
Sheet	2	of	3
		Attorney Docket Number 44424162-8721	

U.S. PATENT DOCUMENTS

Class / Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
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Examiner Signature	<i>Justin Dugan</i>	Date Considered	09/29/2005
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/005,105
Sheet	3	of	3	Filing Date	December 3, 2001
				First Named Inventor	Paul C. Kocher
				Group Art Unit	2132
				Examiner Name	not yet known Justin T. Darrow
				Attorney Docket Number	44424162-8721

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	CiteN o. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
JP	AV	American National Standards for Financial Services, secretariat - American Bankers Association (ANS/ABA x9.24-1997), "Financial Services Key Management," approved April 6, 1992, American National Standards Institute; pgs. 1-71		
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JP	BE	KALISKI, Burt, "Timing Attacks on Cryptosystem," RSA Laboratories, Bulletin, Number 2, January 23, 1996		

Examiner Signature	<i>Justin Darrow</i>	Date Considered	09/29/2005
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028420-0012CIP

10/005,105

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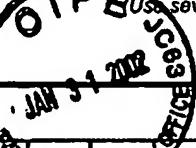
(Use several sheets if necessary)

P. Kocher et al.

FILING

December 3, 2001

GROUP

2132
Unassigned

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JP		"Security Requirements for Cryptographic Modules," Federal Information Processing Standards Publication (FIPS PUB) 140-1, U.S. Department of Commerce, National Institute of Standards and Technology, January 1994, pp. 1-53.
JP		RSA Data Security, RSAREF Cryptographic Toolkit Source Code, File R_RANDOM.C, available from ftp://ftp.rsa.com , created 1991, pp. 1-2.

EXAMINER	DATE CONSIDERED
<i>Justin Sawyer</i>	09/29/2005

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			P. Kocher et al.			
			FILING December 3, 2001	GROUP 2132 Unassigned		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
JP		M. Bellare et al., "Incremental Cryptography: The Case of Hashing and Signing" in: Desmedt, Y., Advances in Cryptology - Crypto 94 Proceedings (Springer-Verlag, 1994) pp. 216-233.				
JP		Paul C. Kocher, "Timing Attacks on Implementations of Diffie-Hellman, RSA, DSS, and Other Systems," in: Koblitz, N., Advances in Cryptology - Crypto '96 (Berlin, Springer, 1996), pp. 104-113.				
EXAMINER <i>Justin Junor</i>			DATE CONSIDERED 09/28/2005			
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			P. Kocher et al.			
			FILING December 3, 2001	GROUP 2132 Unassigned		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER <i>TRADEMARK OFFICE</i>	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
DD		Schneier, Bruce, Applied Cryptography, Second Edition: Protocols, Algorithms, and Source Code in C," John Wiley & Sons, Inc. 10/18/95, pp. 34-41, 390-392 and 480-481.				
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EXAMINER <i>Justin Banow</i>			DATE CONSIDERED <i>09/29/2005</i>			
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Docket Number (Optional)
028420-0012CIP

Application Number
10/005,105

Applicant(s)
P. Kocher et al.

Filing Date
December 3, 2001

Group Art Unit **2132**
Unassigned

*EXAMINER
INITIALS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Ryan, J. "Blinds for Thermodynamic Cipher Attacks," unpublished material on the World Wide Web at <http://www.cybertrace.com/thrmatak.html>, March 1996, pp. 1-7.


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Biham, E., et al., "Differential Fault Analysis of Secret Key Cryptosystems," In: Kaliski, B., Advances in Cryptology-CRYPTO '97 (Berlin, Springer, 1997), 17th Annual International Cryptology Conference, August 17-21, 1997, pp 513-525.

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Bank Technology News. Cries of Wolf Over Smart Card Security? Faulkner & Gray, Inc. 01 November 1996.

EXAMINER

Justin Donar

DATE CONSIDERED

09/28/2005

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